

# EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE

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## Background of the invention

### Field of the invention

[0001] This invention relates to an epitaxial base substrate and an epitaxial substrate, particularly usable as an epitaxial substrate and the base of the epitaxial substrate for a semiconductor light-emitting element made of a plurality of III nitride films.

### Related Art Statement

[0002] III-nitride films are used as semiconductor films for light-emitting diodes, and recently get attention as semiconductor films for high speed IC chips.

[0003] Such a III nitride film is formed as follows. First of all, a buffer film is formed of a III nitride material on a substrate made of a single crystal material, and an underlayer is formed of a III nitride material on the buffer film. Then, one or more III nitride films having their respective functions are formed on the underlayer, to fabricate a semiconductor element such as a light-emitting diode. Generally, the substrate, and the buffer film and the underlayer are combined, and called as an "epitaxial substrate".

[0004] The III nitride films encompassing the buffer film and the underlayer are usually made by MOCVD methods. In the case of making such an epitaxial substrate by MOCVD methods, however, the epitaxial substrate may be warped largely. Also, this trend becomes conspicuous as the buffer film is made of a III nitride material including much Al element, particular AlN.

[0005] Practically, if the buffer film is made of AlN, the warpage of the epitaxial substrate is increased up to 70  $\mu\text{m}$ , and thus, in the manufacturing process of semiconductor elements encompassing various semiconductor films formation process, photolithography process can not be performed precisely, so that the manufacturing yield ratio of semiconductor element can be deteriorated.

## Summary of the Invention

[0006] It is an object of the present invention to provide an epitaxial base substrate by which a not much warped epitaxial substrate can be provided, and an epitaxial substrate including the epitaxial base substrate.

[0007] In order to achieve the above object, this invention relates to an epitaxial

base substrate comprising:

a base made of a single crystal material, and

a III nitride film including at least Al element and having a screw-type dislocation density of  $1 \times 10^8/\text{cm}^2$  or below which is formed on said base.

[0008] Also, this invention relates to an epitaxial substrate comprising:

a base made of a single crystal material,

a III nitride buffer film including at least Al element and having a screw-type dislocation density of  $1 \times 10^8/\text{cm}^2$  or below which is formed on said base, and

a III nitride underfilm which is formed on said III nitride buffer film.

10 [0009] The inventors had intensely studied to find out the cause of the increase in warpage of the epitaxial substrate as being fabricated by a MOCVD method.

As a result, they found out the following fact of the matter.

[0010] In the past, a buffer film of an epitaxial substrate is formed at a lower temperature within  $500-700^\circ\text{C}$  so as to mitigate the difference in lattice constant between a substrate and an underfilm, and thus, enhance the buffer effect.

Therefore, the crystal quality may be degraded to some degree, and much dislocation of a density of  $10^9/\text{cm}^2$  or over may be created therein.

[0011] On the contrary, the inventors found out that, if the buffer film is made of a nitride including Al element and a given III nitride film is formed on the buffer film, surprisingly, the warpage of the thus obtained multilayered structure is reduced remarkably even though the dislocation density of the buffer film is reduced and thus, the crystal quality of the buffer film is developed.

[0012] Moreover, the buffer film made of the Al-including nitride can exhibit a sufficient buffer effect even though the crystal quality is developed to some degree.

[0013] The present invention is achieved by the prominent attention of the inventors which breaks down a conventional ideas.

[0014] According to the epitaxial base substrate and the epitaxial substrate of the present invention, the warpage of a given multilayered structure made of a substrate and a III nitride film can be reduced, as compared with a conventional one.

30 Therefore, when various III nitride films having their respective functions are formed on the III nitride film as an underlayer, the warpage of the resulting multilayered structure can be reduced up to  $50 \mu\text{m}$  or below.

[0015] Therefore, in the lithograph step of the manufacturing process of

semiconductor element, a multilayered substrate of e.g., a base substrate, a buffer film and an underlayer can be set to a desired position precisely, so the lithograph step can be performed precisely. As a result, the manufacturing yielding ratio of semiconductor element can be developed remarkably.

*5* [0016] The term "warpage" means a "warpage amplitude" of a multilayered structure such as an epitaxial base substrate and an epitaxial substrate if the multilayered structure is warped convexly or concavely, as shown in Fig. 1, and a "maximum warpage amplitude" thereof if the multilayered structure is warped in wave shape.

#### Brief Description of the Drawings

For better understanding of the present invention, reference is made to the attached drawings, wherein

Fig. 1 is an explanatory view to define a warpage of an epitaxial substrate,

Fig. 2 is another explanatory view to define a warpage of an epitaxial substrate, and

Fig. 3 is a side view of an epitaxial substrate according to the present invention.

#### Description of the Preferred Embodiments

[0017] This invention will be described in detail, hereinafter. Fig. 3 is a side view showing an epitaxial substrate according to the present invention.

[0018] In Fig. 3, an epitaxial substrate 10 includes a base 1 made of a single crystal material, a III nitride buffer film 2 including Al element which is formed on the base 1, and a III nitride underfilm 3 which is formed on the buffer film 2.

Herein, the epitaxial base substrate is composed of the base 1 and the buffer film 2.

[0019] The base 1 may be made of oxide single crystal such as sapphire single crystal, ZnO single crystal, LiAlO<sub>2</sub> single crystal, LiGaO<sub>2</sub> single crystal, MgAl<sub>2</sub>O<sub>4</sub> single crystal, or MgO single crystal, IV single crystal or IV-IV single crystal such as Si single crystal or SiC single crystal, III-V single crystal such as GaAs single crystal, AlN single crystal, GaN single crystal or AlGaN single crystal, and boride single crystal such as ZrB<sub>2</sub>.

[0020] It is required that the screw-type dislocation density of the buffer film 2 is set to  $1 \times 10^8 / \text{cm}^2$  or below, preferably  $1 \times 10^7 / \text{cm}^2$  or below, more preferably

$1 \times 10^6/\text{cm}^2$  or below. Thereby, the buffer effect and the warping inhibition are given to the epitaxial substrate 10. As a result, even though various III nitride films are formed on the epitaxial substrate 10, the warpage of the thus obtained multilayered structure can be inhibited.

5 **[0021]** The screw-type dislocation density can be observed and measured by a TEM in the operation of dark field image observation at  $g=[000\ell]$ .

**[0022]** It is desired that the buffer film 2 includes 50 atomic percentages or over of Al element for all of the III element, particularly is made of AlN (100 atomic percentages of Al element). If the buffer film 2 includes a large amount of Al  
10 element, as mentioned above, the crystal quality of the buffer film 2 can be developed, and thus, the buffer effect and the warping inhibition can be enhanced.

**[0023]** The buffer film may include other III element such as Ga element and In element, in addition to Al element. Also, the buffer film may include an additive element such as Ge, Si, Mg, Zn, Be, P or B as occasion demands, and a minute  
15 impurity such as O or C contained in the raw material gases and the reactor or contained dependent on the forming condition.

**[0024]** The buffer film 2 of high crystal quality may be made by a MOCVD method, using a trimethylaluminum (TMA) or a triethylaluminum (TEA) as an Al raw material gas and an ammonia as a N raw material gas. The thicker buffer film  
20 2 may be made by a HVPE method in which metallic Al elements are transported with a hydrogen chloride-based gas or the combination of a MOCVD method and a HVPE method.

**[0025]** In this case, some conditions such as (V raw material gas/III raw material gas) flow rate ratio, supply amount of raw material gas, substrate  
25 temperature, forming pressure, gas flow rate, gas species and forming rate are appropriately adjusted.

**[0026]** Preferably, the buffer film 2 is formed at a temperature of  $1100^\circ\text{C}$  or over, particularly within  $1100\text{-}1250^\circ\text{C}$  through the adjustment of substrate temperature. In this case, even though other conditions are varied within a wide range,  
30 the buffer film 2 of low dislocation density and thus, high crystal quality can be obtained easily.

**[0027]** Such a temperature range is extremely higher than a conventional one of  $500\text{-}700^\circ\text{C}$ .

**[0028]** If Ga element and/or In element is incorporated in the buffer film 2, a Ga raw material gas, e.g., trimethylgallium and/or an In raw material gas, e.g., trimethylindium are supplied with an Al raw material gas and a N raw material gas onto the base 1, to form the buffer film 2 having Ga element and/or In element.

5 **[0029]** The thickness of the buffer film 2 depends on the sorts of the base 1 and the underfilm 3 and the forming condition thereof. In view of the heat emission of the resulting semiconductor element, the buffer film 2 is formed thicker. In view of fabrication cost, the buffer film 2 is formed thinner. Generally, the thickness is set within 0.1-100  $\mu\text{m}$ .

10 **[0030]** Similarly, the thickness of the underfilm 3 also depends on the forming condition and the sort and the configuration of the resulting semiconductor element, and generally set within 0.1-100  $\mu\text{m}$ .

**[0031]** The underfilm 3 is epitaxially grown on the buffer film 2 by a MOCVD method. In the case of fabricating a light-emitting element by stacking Ga-  
15 including nitride films, it is desired that the underfilm 3 also include Ga element. However, the underfilm 3 may include Al element and/or In element, and an additive element such as B, as mentioned above.

**[0032]** If the underfilm 3 includes a large amount of Ga element and the buffer film 2 includes a large amount of Al element, the difference in lattice constant  
20 between the underfilm 3 and the buffer film 2 may be increased. In this condition, if the buffer film 2 is formed thicker, the warpage of the thus obtained epitaxial substrate 10 is increased due to the large difference in lattice constant. Or some cracks are created in the underfilm 3.

**[0033]** In this case, therefore, it is desired that the Al content of the buffer film 2  
25 is continuously or stepwisely inclined in the thickness direction. Concretely, the Al content is continuously or stepwisely decreased from the base 1 toward underfilm 3. Thereby, the difference in lattice constant between the buffer film 2 and the underfilm 3 can be reduced, so the warpage and the creation of crack can be inhibited effectively.

30 **[0034]** Since the epitaxial substrate 10 includes the buffer film 2 and the underfilm 3 satisfying the requirements as mentioned above, the warpage of the epitaxial substrate 10 can be reduced up to 50  $\mu\text{m}$  or below, particularly 30  $\mu\text{m}$  or below.

[0035] Therefore, when various nitride films are formed on the epitaxial substrate 10, to fabricate a given multilayered structure, photolithograph step, etc., requiring precise working ability can be performed precisely. As a result, the manufacturing yielding ratio of semiconductor element can be developed.

5 [0036] The warpage may be measured by a probe-type or an optics-type shape measuring instrument.

[0037]

Examples:

This invention will be concretely described hereinafter.

10 (Example)

A substrate made of c-faced sapphire single crystal was employed, and then, set on a susceptor installed in a reactor. A TMA and an  $\text{NH}_3$  were used as an Al raw material gas and an N raw material gas, respectively. Then, the TMA and the  $\text{NH}_3$  were introduced into the reactor and supplied onto the substrate, on the  
15 condition of the (V raw material gas/III raw material gas) flow rate ratio of 450 and the forming pressure of 15 Torr. Thereafter, the substrate was heated to  $1200^\circ\text{C}$ , and an AlN film as a buffer film was formed in a thickness of  $1\text{ }\mu\text{m}$  on the substrate.

[0038] The full width at half maximum in X-ray rocking curve at (0002) reflection was 60 seconds, and the good crystal quality of the AlN film was

20 confirmed. The surface roughness  $R_a$  was  $2\text{ }\text{\AA}$ , and the good flatness of the AlN film was also confirmed. Moreover, the screw-type dislocation density of the AlN film was  $1 \times 10^7/\text{cm}^2$ .

[0039] Thereafter, the forming pressure was varied to atmospheric pressure, and the temperature of the substrate was set to  $1050^\circ\text{C}$ . Then, a TMG was introduced  
25 with the TMA and the  $\text{NH}_3$  into the reactor, and supplied onto the substrate, on the condition of the (V raw material gas/III raw material gas) flow rate ratio of 1000, and an AlGaN film as an underfilm was formed in a thickness of  $3\text{ }\mu\text{m}$ , to fabricate an epitaxial substrate.

[0040] When the warpage of the epitaxial substrate was measured by a shape  
30 measuring instrument, it was turned out to be  $15\text{ }\mu\text{m}$ .

[0041]

(Comparative Example)

Except that the AlN film was formed in a thickness of  $0.03\text{ }\mu\text{m}$ , on the

condition of the forming temperature of the AlN film being varied to 700°C from 1150°C, the (V raw material gas/III raw material gas) flow rate ratio being set to 1000, and the forming pressure being set to 760 Torr, the epitaxial substrate was fabricated in the same manner as in Example.

5   **[0042]**     The screw-type dislocation density of the AlN film was  $1 \times 10^9/\text{cm}^2$ , and the warpage of the epitaxial substrate was 60  $\mu\text{m}$ .

10   **[0043]**     As apparent from Example and Comparative Example, in the epitaxial substrate including the AlN film of low dislocation density, according to the present invention, the warpage can be reduced to 50  $\mu\text{m}$  or below, so that the epitaxial substrate can be preferably used for various semiconductor elements.

15   **[0044]**     Although the present invention was described in detail with reference to the above examples, this invention is not limited to the above disclosure and every kind of variation and modification may be made without departing from the scope of the present invention. For example, a given nitriding treatment may be performed on the main surface of the substrate before the buffer film is formed, as described before. Moreover, the buffer film and the underfilm may be formed by using their respective different CVD apparatuses.

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